

More on modal embeddings and calling paradigms

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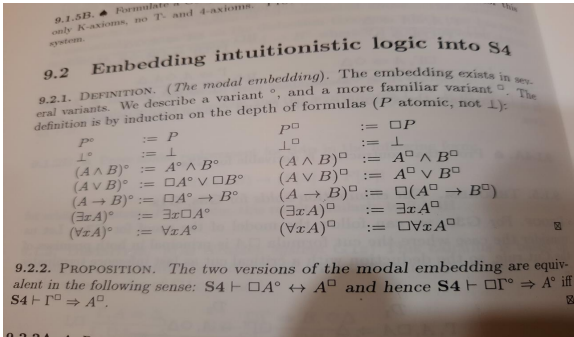
Nantes, 23 June 2022

Overview of the talk

- ① Introduction and recap of calling paradigms
- ② Modal target and embeddings
- ③ More on embeddings and paradigms

Modal embeddings

From Troelstra-Schwichtenberg, “Basic Proof Theory”



Calling paradigms

Plotkin, 1975: over the same syntax

- a “programming language” (with notions of **value** and **evaluation**)
- a λ -calculus (with notions of **reduction** and **equality**)
- the two components linked by a **standardization** theorem

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Two examples

- Call-by-value (Plotkin’s λ -calculus)
- Call-by-name (ordinary λ -calculus)

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Two examples

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We will talk about a third one

- Call-by-box

Computational interpretation of the modal embeddings

We consider implication only

- Gödel's embedding: $A \supset B \mapsto \Box A \supset \Box B$
- Girard's embedding: $A \supset B \mapsto \Box A \supset B$

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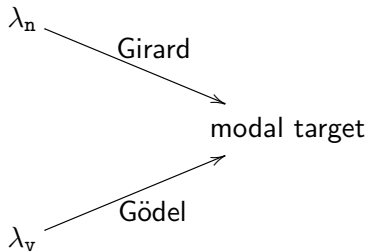
Analogous interpretations into linear logic suggest

- Gödel's embedding interprets call-by-value
- Girard's embedding interprets call-by-name

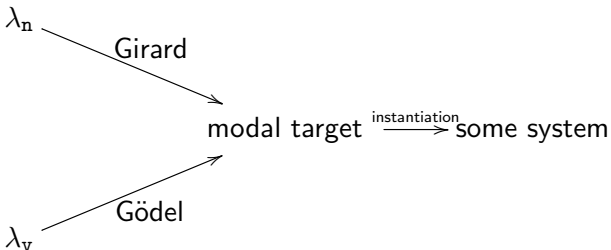
Our approach

- Focus on the interpretation into $S4$
- Identify the modal target appropriate for the interpretation
- Identify the core of the connection embeddings-paradigms
- Later instantiations of the modality are a separate concern
- Decompose other known interpretations as the composition of a modal embedding and some instantiation of the modality

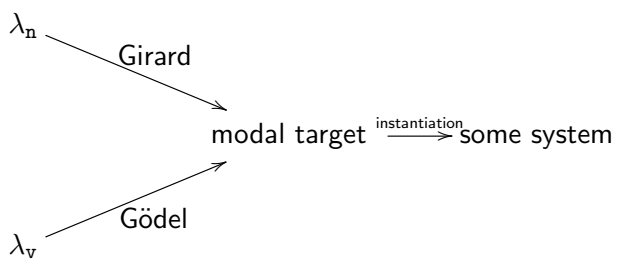
Modal embeddings and later instantiations



Modal embeddings and later instantiations

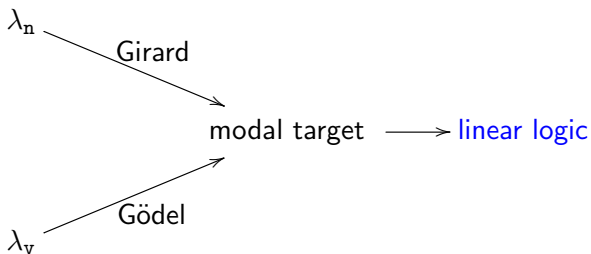


Modal embeddings and later instantiations



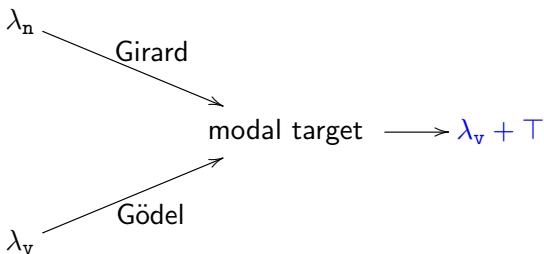
Several possible instantiations:

Modal embeddings and later instantiations



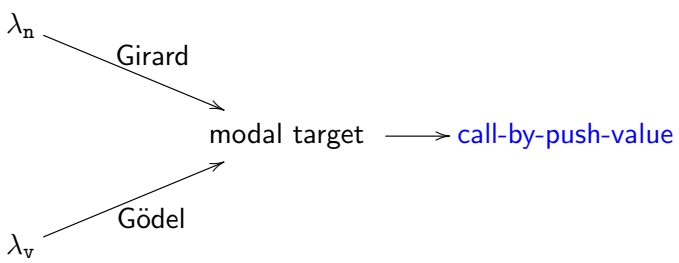
Several possible instantiations: $\Box A = !A$

Modal embeddings and later instantiations



Several possible instantiations: $\Box A = \top \supset A$

Modal embeddings and later instantiations



Several possible instantiations: $\Box A = FUA$

Call-by-name: λ_n

(*Terms*) $M, N ::= x \mid \lambda x.M \mid MN$

(β_n) $(\lambda x.M)N \rightarrow [N/x]M$

Call-by-name: λ_n

(Terms) $M, N ::= x \mid \lambda x.M \mid MN$

(β_n) $(\lambda x.M)N \rightarrow [N/x]M$

$$\frac{M \rightarrow M'}{MN \rightarrow M'N} (\mu) \quad \frac{N \rightarrow N'}{MN \rightarrow MN'} (\nu) \quad \frac{M \rightarrow M'}{\lambda x.M \rightarrow \lambda x.M'} (\xi)$$

Call-by-name reduction and equality: $\rightarrow_{\beta_n}^*$ and $=_{\beta_n}$

\rightarrow_n : β_n closed under μ

Call-by-name **evaluation**: \rightarrow_n^*

Standardization for cbn

Standard reducibility $M \Rightarrow_n N$:

$$\overline{x \Rightarrow_n x} \text{ VAR} \quad \frac{M \Rightarrow_n N}{\lambda x.M \Rightarrow_n \lambda x.N} \text{ ABS} \quad \frac{M \Rightarrow_n M' \quad N \Rightarrow_n N'}{MN \Rightarrow_n M'N'} \text{ APL}$$

$$\frac{M \rightarrow_n^* \lambda x.M' \quad [N/x]M' \Rightarrow_n P}{MN \Rightarrow_n P} \text{ RDX}$$

Standardization theorem: $M \rightarrow_{\beta_n}^* N$ iff $M \Rightarrow_n N$

Call-by-value: λ_v

(Terms) $M, N ::= V \mid MN$ (Values) $V ::= x \mid \lambda x.M$

(β_v) $(\lambda x.M)V \rightarrow [V/x]M$

$\frac{M \rightarrow M'}{MN \rightarrow M'N}$ (μ) $\frac{N \rightarrow N'}{VN \rightarrow VN'}$ (ν_{val}) $\frac{M \rightarrow M'}{\lambda x.M \rightarrow \lambda x.M'}$ (ξ)

Call-by-value reduction and equality: $\rightarrow_{\beta_v}^*$ and $=_{\beta_v}$

\rightarrow_v : β_v closed under μ and ν_{val}

Call-by-value **evaluation**: \rightarrow_v^*

Standardization for cbv

Standard reducibility $M \Rightarrow_v N$:

$$\overline{x \Rightarrow_v x} \text{ VAR} \quad \frac{M \Rightarrow_v N}{\lambda x.M \Rightarrow_v \lambda x.N} \text{ ABS} \quad \frac{M \Rightarrow_v M' \quad N \Rightarrow_v N'}{MN \Rightarrow_v M'N'} \text{ APL}$$

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MODAL TARGET
AND
MODAL EMBEDDINGS

Modal target: the box calculus λ_b

$$M, N ::= \overbrace{\varepsilon(x) \mid \lambda x.M}^V \mid MN \mid \underbrace{\text{box}(M)}_B$$
$$(\beta_b) \quad (\lambda x.M)\text{box}(N) \rightarrow [N/\varepsilon(x)]M$$

Modal target: the box calculus λ_b

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- Call-by-box reduction: $\rightarrow_{\beta_b}^*$
- \rightarrow_{we} : forbid reduction under λ -abstraction and boxes
- Weak and external reduction, or cbb evaluation: $\rightarrow_{\text{we}}^*$

Modal target: the box calculus λ_b

$$A ::= X \mid B \supset A \mid B \qquad B ::= \Box A$$

Contexts Γ are sets of declarations $x : B$

$$\frac{}{\Gamma, x : \Box A \vdash \varepsilon(x) : A} \qquad \frac{\Gamma \vdash M : A}{\Gamma \vdash \text{box}(M) : \Box A}$$

$$\frac{\Gamma, x : B \vdash M : A}{\Gamma \vdash \lambda x. M : B \supset A} \qquad \frac{\Gamma \vdash M : B \supset A \quad \Gamma \vdash N : B}{\Gamma \vdash MN : A}$$

Calling paradigm cbb

Standard reducibility $M \Rightarrow_b N$:

$$\frac{}{\varepsilon(x) \Rightarrow_b \varepsilon(x)} \text{VAR} \quad \frac{M \Rightarrow_b N}{\lambda x.M \Rightarrow_b \lambda x.N} \text{ABS}$$

$$\frac{M \Rightarrow_b M' \quad N \Rightarrow_b N'}{MN \Rightarrow_b M'N'} \text{APL} \quad \frac{M \Rightarrow_b N}{\text{box}(M) \Rightarrow_b \text{box}(N)} \text{BOX}$$

$$\frac{M \rightarrow_{\text{we}}^* \lambda x.M' \quad N \rightarrow_{\text{we}}^* \text{box}(N') \quad [N'/\varepsilon(x)]M' \Rightarrow_b P}{MN \Rightarrow_b P} \text{RDX}$$

Calling paradigm cbb

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Theorem (Standardization for λ_b)

$$M \rightarrow_{\beta_b}^* N \text{ iff } M \Rightarrow_b N$$

Girard's embedding: $\lambda_n \rightarrow \lambda_b$

Translation of formulas

$$\begin{aligned} X^\circ &= X \\ (A_1 \supset A_2)^\circ &= \Box A_1^\circ \supset A_2^\circ \end{aligned}$$

Translation of terms

$$\begin{aligned} x^\circ &= \varepsilon(x) \\ (\lambda x.M)^\circ &= \lambda x.M^\circ \\ (MN)^\circ &= M^\circ \text{box}(N^\circ) \end{aligned}$$

Typing

$$\Gamma \vdash M : A \text{ in } \lambda_n \text{ iff } \Box \Gamma^\circ \vdash M^\circ : A^\circ \text{ in } \lambda_b$$

Girard's embedding: $\lambda_n \rightarrow \lambda_b$

Theorem (Properties of Girard's translation)

- 1 (Preservation and reflection of reduction) $M \rightarrow_{\beta_n} N$ in λ_n iff $M^\circ \rightarrow_{\beta_b} N^\circ$ in λ_b .
- 2 (Preservation and reflection of evaluation) $M \rightarrow_n N$ in λ_n iff $M^\circ \rightarrow_{we} N^\circ$ in λ_b .
- 3 (Preservation and reflection of standard reduction) $M \Rightarrow_n N$ in λ_n iff $M^\circ \Rightarrow_b N^\circ$ in λ_b .

Corollary

Standardization for λ_n .

Gödel's embedding: $\lambda_v \rightarrow \lambda_b$

Translation of formulas

$$A^* = \Box A^\bullet$$

$$X^\bullet = X$$

$$(A_1 \supset A_2)^\bullet = \Box A_1^\bullet \supset \Box A_2^\bullet$$

Translation of terms

$$V^* = \text{box}(V^\bullet)$$

$$(MN)^* = \text{raise}(N^*)M^*$$

$$x^\bullet = \varepsilon(x)$$

$$(\lambda x.M)^\bullet = \lambda x.M^*$$

where

$$\text{raise}(N) := \lambda z.\varepsilon(z)N$$

Gödel's embedding: $\lambda_v \rightarrow \lambda_b$

Typing

- $\Gamma \vdash M : A$ in λ_v iff $\Gamma^* \vdash M^* : A^*$ in λ_b
- $\Gamma \vdash V : A$ in λ_v iff $\Gamma^* \vdash V^\bullet : A^\bullet$ in λ_b

Notice the derived typing rule

$$\frac{\Gamma \vdash N : B}{\Gamma \vdash \text{raise}(N) : (\Box(B \supset B')) \supset B'}$$

Gödel's embedding: $\lambda_v \rightarrow \lambda_b$

Theorem (Properties of Gödel's translation)

- 1 (Preservation and reflection of reduction) $M \rightarrow_{\beta_v} N$ in λ_v iff $M^* \rightarrow_{\beta_{b2}} N^*$ in λ_b .
- 2 (Preservation and reflection of evaluation) $M \rightarrow_v^* V$ in λ_v iff $M^* \rightarrow_{we}^* V^*$ in λ_b .
- 3 (Preservation and reflection of standard red.) $M \Rightarrow_v N$ in λ_v iff $M^* \Rightarrow_{b2} N^*$ in λ_b .

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Theorem (Properties of Gödel's translation)

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- 3 (Preservation and reflection of standard red.) $M \Rightarrow_v N$ in λ_v iff $M^* \Rightarrow_{b2} N^*$ in λ_b .

Corollary

Standardization for λ_v .

The extra bits in the cbv case

In λ_b define $\beta_{b2} \subset \rightarrow_{we}^2$:

$$\text{raise}(\text{box}(N))\text{box}(\lambda x.P) \rightarrow [N/\varepsilon(x)]P$$

In λ_b define $\Rightarrow_{b2} \subset \Rightarrow_b$, by replacing

$$\frac{M \rightarrow_{we}^* \lambda x.M' \quad N \rightarrow_{we}^* \text{box}(N') \quad [N'/\varepsilon(x)]M' \Rightarrow_b P}{MN \Rightarrow_b P} \text{ RDX}$$

with

$$\frac{N \rightarrow_{we}^* \text{box}(N') \quad M \rightarrow_{we}^* \text{box}(\lambda x.M') \quad [N'/\varepsilon(x)]M' \Rightarrow_{b2} Q}{\text{raise}(N)M \Rightarrow_{b2} Q} \text{ RDX2}$$

First narrative¹

- The modal target is a new calling paradigm, [call-by-box](#) (cbb)
- The modal embeddings implement “[protect-by-a-box](#)”, an abstract form of the compilation technique “protect-by-a-lambda”
- “Protect-by-a-box” has a cbn side and a cbv side
- The modal embeddings [unify](#) cbn and cbv inside cbb

¹JES, L. Pinto, T. Uustalu, “Modal embeddings and calling paradigms”, FSCD 2019

MORE ONE EMBEDDINGS AND PARADIGMS

Needed

- Improve the properties of Gödel's embedding
- Change the narrative, to fit better with the strong properties of Girard's embedding
- Separation of the extra bits by modal reasons

Redesign the modal target

Starting from λ_b

- Forbid types of the form $\Box\Box A$
- Separate, already in the untyped syntax, terms that must have a modal type from those that cannot have a modal type
- Application will remain an ambiguous constructor: separate the two forms (and the corresponding reduction rules)
- Just keep the particular forms of application that show up in the image of the embeddings

Types, terms and reduction

(Types) $A ::= B \mid C$
(Boxed types) $B ::= \Box C$
(Unboxed types) $C ::= X \mid B \supset A$

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(Terms) $T ::= M \mid P$

(Unboxed terms) $M, N ::= \overbrace{\varepsilon(x) \mid \lambda x. T \mid M @_b Q}^V$
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 \end{array}$$

$$(\lambda x. T) @_{\mathbf{b}} \text{box}(N) \rightarrow [N / \varepsilon(x)] M$$

Derived notion of application and reduction

$$\frac{\frac{\frac{\Gamma, z : \Box(B' \supset B) \vdash \varepsilon(z) : B' \supset B}{\Gamma, z : \Box(B' \supset B) \vdash \varepsilon(z) @_b Q : B} \quad \frac{\Gamma \vdash Q : B'}{\Gamma, z : \Box(B' \supset B) \vdash Q : B'}}{\Gamma \vdash \lambda z. \varepsilon(z) @_b Q : (\Box(B' \supset B)) \supset B} \quad \Gamma \vdash P : \Box(B' \supset B)}{\Gamma \vdash \underbrace{(\lambda z. \varepsilon(z) @_b Q) @_b P}_{QP} : B} W$$

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$$\text{box}(N)(\text{box}(\lambda x. P)) \rightarrow [N/\varepsilon(x)]P$$

The final syntax

(Terms) $T ::= M \mid P$

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The general form of (un)boxed terms

$VQ_1 \cdots Q_m$

$Q_m \cdots Q_1 B$

The final syntax: another box calculus λ_{\boxtimes}

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$$VQ_1 \cdots Q_m \quad Q_m \cdots Q_1 B$$

Two reduction rules

$$\begin{array}{l}
 (\beta_{<}) \quad (\lambda x. M) \text{box}(N) \rightarrow [N/\varepsilon(x)]M \\
 (\beta_{>}) \quad \text{box}(N)(\text{box}(\lambda x. P)) \rightarrow [N/\varepsilon(x)]P
 \end{array}$$

Gödel's translation: choose the boxed mode

$$\text{(Terms)} \quad T ::= M \mid P$$

$$\begin{array}{l} \text{(Unboxed terms)} \quad M, N ::= \overbrace{\varepsilon(x) \mid \lambda x. P}^V \mid MQ \\ \text{(Boxed terms)} \quad P, Q ::= \underbrace{\text{box}(M)}_B \mid QP \end{array}$$

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The general form of (un)boxed terms

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$$\begin{array}{l} (\beta_{<}) \quad (\lambda x.M)\text{box}(N) \rightarrow [N/\varepsilon(x)]M \\ (\beta_{>}) \quad \text{box}(N)(\text{box}(\lambda x.P)) \rightarrow [N/\varepsilon(x)]P \end{array}$$

Results

Theorem (Properties of Girard's translation from λ_n to λ_{\otimes})

- 1 $M \rightarrow_{\beta_n} N$ in λ_n iff $M^\circ \rightarrow_{\beta_<} N^\circ$ in λ_{\otimes} .
- 2 $M \rightarrow_n^* N$ in λ_n iff $M^\circ \rightarrow_b N^\circ$ in λ_{\otimes} .
- 3 $M \Rightarrow_n N$ in λ_n iff $M^\circ \Rightarrow_{\otimes} N^\circ$ in λ_{\otimes} .

Theorem (Properties of Gödel's translation from λ_v to λ_{\otimes})

- 1 $M \rightarrow_{\beta_v} N$ in λ_v iff $M^* \rightarrow_{\beta_>} N^*$ in λ_{\otimes} .
- 2 $M \rightarrow_v^* N$ in λ_v iff $M^* \rightarrow_b N^*$ in λ_{\otimes} .
- 3 $M \Rightarrow_v N$ in λ_v iff $M^* \Rightarrow_{\otimes} N^*$ in λ_b .

Second narrative²

- The modal target still follows call-by-box and combines two “modes” of the application constructor and reduction
- Each mode corresponds to a calling paradigm cbn or cbv
- The modal embeddings point out **isomorphic** copies of cbn or cbn inside cbb
- Cbn and Cbv **coexist** inside cbb

²JES, L. Pinto, T. Uustalu, “Plotkin’s lambda-calculus as a modal calculus”, JLAMP, 2022

Work in progress

- Explore the redesigned modal calculus λ_{\neq}
- Work out fully the several instantiations

THANK YOU