

A Generalized Translation of

Pure Type Systems

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- ▶ Background
 - ▶ (Tiered) Pure Type Systems
 - ▶ The Barendregt-Geuvers-Klop Conjecture
- ▶ Dependency Eliminating Translations
- ▶ Conclusions/Open Questions

Background

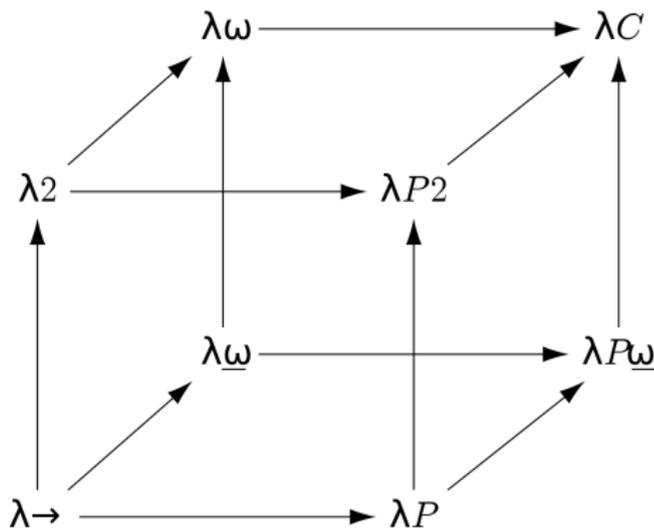
The Lambda Cube (1/3)

The lambda cube is introduced by Barendregt around 1990 as a way of classifying a collection of type systems based on the common features they had (the kinds of abstractions they allowed).

↑ arrows give terms depending on **types** (*polymorphism*)

→ arrows give **types** depending on terms (*dependent types*)

↗ arrows give **types** depending on **types** (*type constructors*)



The Lambda Cube (2/3)

The Grammar

$$\mathsf{T} ::= \star \mid \square \mid \mathsf{V} \mid \mathsf{T}\mathsf{T} \mid \lambda\mathsf{V}^{\mathsf{T}}\mathsf{T} \mid \Pi\mathsf{V}^{\mathsf{T}}\mathsf{T}$$

The Derivation Rules

$$\begin{array}{l} (\mathbf{Ax}) \vdash \star : \square \qquad (\mathbf{Vr}) \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \qquad (\mathbf{Wk}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A} \\ (\mathbf{Fn}) \frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : s'}{\Gamma \vdash \Pi x^A B : s'} \quad (s, s') \in \mathcal{R} \\ (\mathbf{Ab}) \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x^A B : s}{\Gamma \vdash \lambda x^A M : \Pi x^A B} \\ (\mathbf{Ap}) \frac{\Gamma \vdash M : \Pi x^A B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]} \qquad (\mathbf{Cv}) \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \quad A =_{\beta} B \end{array}$$

The Lambda Cube (3/3)

(\square, \star) gives terms
depending on **types**
(*polymorphism*)

(\star, \square) gives **types**
depending on terms
(*dependent types*)

(\square, \square) gives **types**
depending on **types**
(*type constructors*)

System	Rules (\mathcal{R})
λ_{\rightarrow}	(\star, \star)
λ_2	$(\star, \star), (\square, \star)$
λ_P	$(\star, \star), (\star, \square)$
$\lambda_{\underline{\omega}}$	$(\star, \star), (\square, \square)$
λ_{ω}	$(\star, \star), (\square, \star), (\square, \square)$
λ_{P2}	$(\star, \star), (\square, \star), (\star, \square)$
$\lambda_{P\underline{\omega}}$	$(\star, \star), (\star, \square), (\square, \square)$
λ_C	$(\star, \star), (\star, \square), (\square, \star), (\square, \square)$

Pure Type Systems

Pure Type Systems (PTSs) are introduced by Terlouw, Berardi, and Barendregt also around 1990. A PTS is specified by a set of sorts (\mathcal{S}), axioms ($\mathcal{A} \subset \mathcal{S} \times \mathcal{S}$) and rules ($\mathcal{R} \subset \mathcal{S} \times \mathcal{S} \times \mathcal{S}$).

The Grammar

$$\mathsf{T} ::= \mathcal{S} \mid \mathsf{V} \mid \mathsf{TT} \mid \lambda \mathsf{V}^{\mathsf{T}} \mathsf{T} \mid \mathsf{II} \mathsf{V}^{\mathsf{T}} \mathsf{T}$$

The Derivation Rules

$$\text{(Ax)} \vdash s : s' \quad (s, s') \in \mathcal{A} \quad \text{(Vr)} \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \quad \text{(Wk)} \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, x : B \vdash M : A}$$

$$\text{(Fn)} \frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : s'}{\Gamma \vdash \mathsf{II} x^A B : s''} \quad (s, s', s'') \in \mathcal{R}$$

$$\text{(Ab)} \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \mathsf{II} x^A B : s}{\Gamma \vdash \lambda x^A M : \mathsf{II} x^A B}$$

$$\text{(Ap)} \frac{\Gamma \vdash M : \mathsf{II} x^A B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]} \quad \text{(Cv)} \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma \vdash M : B} \quad A =_{\beta} B$$

The Barendregt-Geuvers-Klop Conjecture

A PTS is **weak normalizing** (WN) if all typable terms have normal forms, *i.e.*, if $\Gamma \vdash M : A$, then $M \twoheadrightarrow_{\beta} N$ where N is a normal form.

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This is motivated primarily by a collection of results that derive SN from WN from systems in the lambda cube.

Why is this problem so hard?

PTSs are in some sense the most obvious generalization of the lambda cube, but they're too unwieldy (*e.g.*, they lose many good meta-theoretic properties).

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An Idea. Consider a subclass of PTSs which generalize the lambda cube but maintain useful meta-theoretic properties.

Tiered Pure Type Systems (1/2)

An n -tiered PTS is specified by

$$\mathcal{S} = [n]$$

$$\mathcal{A} = \{(i, i + 1) \mid i \in [n - 1]\}$$

$$\mathcal{R} \subset \{(i, j, j) \mid (i, j) \in \mathcal{S} \times \mathcal{S}\}$$

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Classification Lemma. There is a measure deg on terms s.t.

- ▶ $\text{deg } A = n + 1$ if and only if $A = s_n$
- ▶ $\text{deg } A = n$ if and only if $\Gamma \vdash A : s_n$ for some context Γ
- ▶ For $i \in [n - 1]$, we have $\text{deg } A = i$ if and only if $\Gamma \vdash A : B$ and $\Gamma \vdash B : s_{i+1}$ for some context Γ and term B

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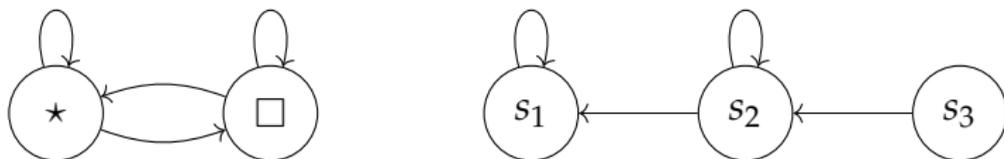
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With respect to normalization, these are equivalent to **stratified persistent** pure type systems, but simpler and more explicitly presented.

Tiered Pure Type Systems (2/2)

A Graphical Representation

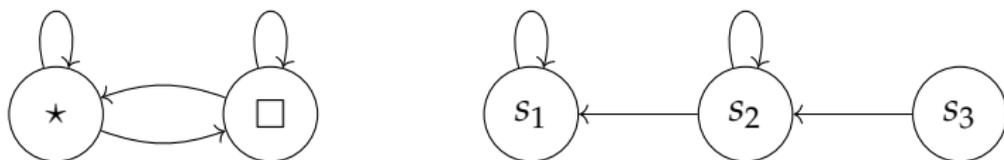


$$\mathcal{R}_{\lambda C} = \{(\star, \star), (\star, \square), (\square, \star), (\square, \square)\}$$

$$\mathcal{R}_{\lambda U^-} = \{(s_1, s_1), (s_2, s_2), (s_2, s_1), (s_3, s_2)\}$$

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An Alternative Question. Does the BGK conjecture hold for tiered PTSs? Furthermore, can we classify those tiered PTSs that are SN?

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Theorem. (*Girard, Coquand*) λU^- is not WN. In particular, there is a term of type $\Pi x^{s_1} x$ so all types (of sort s_1) are inhabited.

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Girard's paradox implies that the question on the previous slide should have a fairly interesting answer.

Dependency Eliminating Translations

Objectives

- ▶ Outline existing generalizations of normalization results to the PTS setting
- ▶ Discuss dependency eliminating translations
- ▶ Briefly touch on the challenge of generalizing these translations
- ▶ Describe the current state of my work, with an application to the stronger version of the BGK conjecture

Normalization in the PTS Setting

Lou proved that ECC is SN (a reasonable subsystem of ECC can be viewed as a PTS).

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The Goal of this Work. Generalize the dependency eliminating translation of Geuvers and Nederhof (based on the one of Harper) to a class of PTSs.

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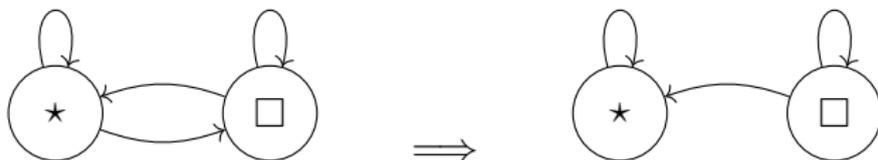
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Theorem. (Geuvers, Nederhof) λC is SN if $\lambda\omega$ is SN.

Both of the translations used in these results can be seen as eliminating the **dependent** rules in the system, the forward facing arrows in the graphic representation, *e.g.*,



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This approach can be used in the generalization as well.

Main Challenges.

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Main Challenges.

1. preserving well-formedness of the types of translated terms, which may themselves have terms to be typed
2. preserving infinite reduction paths by not losing subterm information in the translation
3. dealing with uses of \perp , which won't be derivable at all levels (only a problem for the generalization)

Challenge 1: Preserving Typability

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For λC . Define *three* translations ρ_1 , ρ_0 and γ such that

$$\Gamma \vdash A : s \quad \text{implies} \quad \rho_1(\Gamma) \vdash \rho_0(A) : \star$$

$$\Gamma \vdash M : A \quad \text{implies} \quad \rho_0(\Gamma) \vdash \gamma(M) : \rho_0(A)$$

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For the generalization. Define $n + 1$ translation inductively,

$$\rho_{n-1}, \dots, \rho_0, \gamma$$

where $\rho_i(s_k) = s_i$ and $\gamma(s_k) = 0$ for some variables 0 of sort s_1 . Then use the previously defined translations to type the subsequently defined translations.

Challenge 2: Preserving Infinite Reductions (1/2)

Consider translating a predicate on A .¹ We translate \star to 0 and translate functions and applications inductively on structure.

A Dependent Case

$$\frac{\Gamma \vdash P : A \rightarrow \star \quad \Gamma \vdash M : A}{\Gamma \vdash PM : \star}$$

¹These example are taken from Geuvers and Nederhof.

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After Translation

$$\frac{\rho_0(\Gamma) \vdash \gamma(P) : \rho_0(A) \rightarrow 0 \quad \rho_0(\Gamma) \vdash \gamma(M) : \rho_0(A)}{\rho_0(\Gamma) \vdash \gamma(P)\gamma(M) : 0}$$

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Challenge 2: Preserving Infinite Reductions (2/2)

In the non-dependent case, polymorphism makes things tricky.

A Non-Dependent Case

$$\frac{\Gamma \vdash P : \Pi A^* A \rightarrow A \quad \Gamma \vdash B : \star}{\Gamma \vdash PB : B \rightarrow B}$$

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The Problem. We need to pass in $\gamma(B)$ so we don't lose subterm information, but how do we get $\rho_0(B)$ from $\gamma(B)$? What would the type of $\gamma(P)$ have to be?

Updated Translation

$$\frac{\rho_0(\Gamma) \vdash \gamma(P) : \Pi A^* \Pi x^0 A \rightarrow A \quad \rho_0(\Gamma) \vdash \gamma(B) : 0}{\rho_0(\Gamma) \vdash \gamma(P)\rho_0(B)\gamma(B) : \rho_0(B) \rightarrow \rho_0(B)}$$

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For the generalization. We need to include **more and more terms in each subsequent translation** in order not to lose subterm information with the non-dependent case. *This puts a very strong requirement on the non-dependent part of the PTS.*

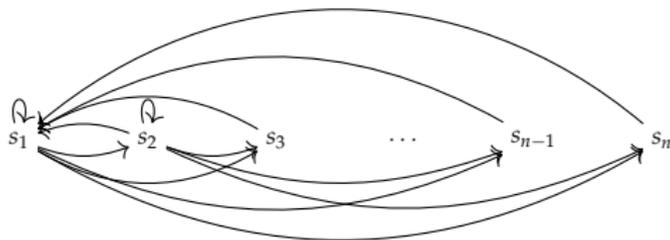
Full Systems

A tiered PTS is (i, j) -full if its rules contain $\{(s_l, s_k, s_k) \mid l \leq i \text{ and } l \leq k \leq j\}$ and is full if it satisfies the following closure property: if $(s_i, s_j, s_j) \in \mathcal{R}_{\lambda S}$ then λS is (j, i) -full.

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Fullness is a *very* strong restriction. Most full systems contain a λU^- (so are non-normalizing) and the small class of systems that do not contain λU^- are subsystems of ECC. The strongest non-trivial system is the following.



Challenge 3: Dealing with \perp (1/2)

For any tiered PTS λS , define its **non-dependent restriction**, denoted λS^* , to be the tiered system with rules $\{(s_i, s_j, s_j) \in \mathcal{R}_{\lambda S} \mid i \leq j\}$.

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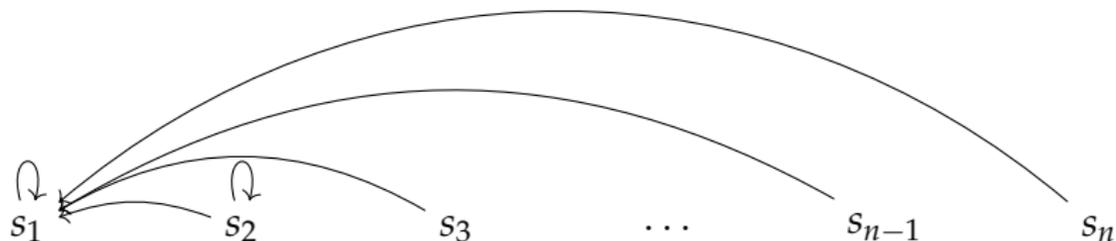
In the last stage of the translation for λC a term of type $\Pi x^{s_1} x$ is put in the context to be able to derive dummy terms for the case of translating Π -terms. This is possible because

$$\vdash_{\lambda C} \Pi x^{s_1} x : s_2$$

But in general, $\Pi x^{s_i} x$ will not be a derivable type in λS^* .

Challenge 3: Dealing with \perp (2/2)

The idea. All higher sorts are very scarcely inhabited, *e.g.*,



So we define one more intermediate translation that eliminates the uses of \perp 's in these cases.

Results

Theorem. For any full tiered PTS λS , there are two functions $\tau : \mathbb{T} \rightarrow \mathbb{T}$ and $\llbracket - \rrbracket : \mathbb{T} \rightarrow \mathbb{T}$ on terms such that the following hold.

1. If $\Gamma \vdash A : B$ then there is a context Γ' such that $\Gamma' \vdash_{\lambda S^*} \llbracket A \rrbracket : \tau(B)$. That is, $\llbracket - \rrbracket$ preserves typability.
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Corollary. For any full PTS, WN is equivalent to SN *is provable in Peano Arithmetic*.

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3. Using the dependency eliminating translation, it follows that λS is SN.

The Takeaway. With better translations, both non-dependent systems and from dependent to non-dependent systems, we can expand the class of systems to which the BGK conjecture holds.

The Translation (1/2)

<i>Sorts</i>	$\rho_i(s_j) \triangleq \begin{cases} 0 & i = 0 \\ s_j & \text{otherwise} \end{cases}$ (where $j \geq i$)
<i>Var.</i>	$\rho_i(s^j x) \triangleq s_{i+2}^j x$ (where $j \geq i + 2$)
<i>Π-terms</i>	$\rho_i(\Pi x^A B) \triangleq \begin{cases} \Pi x^{\rho_{\deg A - 1}(A)} \dots \Pi x^{\rho_i(A)} \rho_i(B) & \deg A \geq i + 1 \\ \rho_i(B) & \text{otherwise} \end{cases}$
<i>λ-terms</i>	$\rho_i(\lambda x^A M) \triangleq \begin{cases} \lambda x^{\rho_{\deg A - 1}(A)} \dots \lambda x^{\rho_{i+1}(A)} \rho_i(M) & \deg A \geq i + 2 \\ \rho_i(M) & \text{otherwise} \end{cases}$
<i>App.</i>	$\rho_i(MN) \triangleq \begin{cases} \rho_i(M) \rho_{\deg N - 1}(N) \dots \rho_i(N) & \deg N \geq i + 1 \\ \rho_i(M) & \text{otherwise} \end{cases}$

The Translation (2/2)

<i>Sorts</i>	$\gamma(s_i) \triangleq c^0$
<i>Variables</i>	$\gamma(s_i x) = s_i x$
<i>Π-terms</i>	$\gamma(\Pi x^A B) \triangleq$ $c^{0 \rightarrow 0 \rightarrow 0} \gamma(A) (\gamma(B) [c^{\rho_{\deg A-1}(A)} / s_{\deg A} x] \dots [c^{\rho_0(A)} / s_1 x])$
<i>λ-terms</i>	$\gamma(\lambda x^A M) \triangleq (\lambda z^0 \lambda x^{\rho_{\deg A-1}(A)} \dots \lambda x^{\rho_0(A)} \gamma(M)) \gamma(A)$
<i>App.</i>	$\gamma(MN) \triangleq \begin{cases} \gamma(M) \rho_{\deg N-1}(N) \dots \rho_0(N) \gamma(N) & \deg N \geq 1 \\ \gamma(M) \gamma(N) & \deg N = 0 \end{cases}$

(I have not included the last stage which eliminates the uses of \perp -terms)

Conclusions

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- ▶ Can dependency eliminating translations be combined with double negation (CPS) translations to weaken the fullness requirement?

Thank You